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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|---------------------|--|
| Attorney Docket No. | 2101611-991121 |
| First Inventor | Karl Denninghoff |
| Title | Method and Apparatus for VoIP Telephony Call |
| Express Mail Label | EV 324950459 US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
P. O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **22**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **7**]
5. Oath or Declaration [Total Pages **3**]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check # **53149** for \$453; Copy of prior prov. application 60/319,366 w/dwgs (14p); Pcard

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

| | | | | | |
|---------|----------------------------------|-----------|--------------|----------|--------------|
| Name | Gray Cary Ware & Freidenrich LLP | | | | |
| | Timothy W. Lohse | | | | |
| Address | 1755 Embarcadero Road | | | | |
| City | Palo Alto | State | California | Zip Code | 94303-3340 |
| Country | United States | Telephone | 650-320-7426 | Fax | 650-320-7401 |

Name (Print/Type) Timothy W. Lohse

Registration No. (Attorney/Agent) 35,255

Signature

Date June 26 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$456.00

Complete if Known

| | |
|----------------------|------------------|
| Application Number | To Be Assigned |
| Filing Date | June 26, 2003 |
| First Named Inventor | Karl Denninghoff |
| Examiner Name | To Be Assigned |
| Group Art Unit | To Be Assigned |
| Attorney Docket No. | 2101611-991121 |

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit
Account
Number

07-1896

Deposit
Account
Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|------------------------|----------|
| 1001 | 2001 | 750 | 375 | Utility filing fee | 375.00 |
| 1002 | 2002 | 330 | 165 | Design filing | |
| 1003 | 2003 | 520 | 260 | Plant filing fee | |
| 1004 | 2004 | 750 | 375 | Reissue filing | |
| 1005 | 2005 | 160 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$) |
| | | | | | \$375.00 |

2. EXTRA CLAIM FEES FOR UTILITY AND

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 29 | -20** = 9 | 9.00 | 81.00 |
| Independent Claims | 3 - 3** = 0 | 0.00 | 0.00 |
| Multiple Dependent | | | |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description |
|--------------|--------------|----------|----------|--|
| 1202 | 2202 | 18 | 9 | Claims in excess of 20 |
| 1201 | 2201 | 84 | 42 | Independent claims in excess of 3 |
| 1203 | 2203 | 280 | 140 | Multiple dependent claim, if not paid |
| 1204 | 2204 | 84 | 42 | ** Reissue independent claims over original patent |
| 1205 | 2205 | 18 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) \$81.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|--|----------|
| 1051 | 2051 | 130 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 2052 | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 2053 | 130 | 130 | Non - English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 2251 | 110 | 55 | Extension for reply within first month | |
| 1252 | 2252 | 410 | 205 | Extension for reply within second month | |
| 1253 | 2253 | 930 | 465 | Extension for reply within third month | |
| 1254 | 2254 | 1,450 | 725 | Extension for reply within fourth month | |
| 1255 | 2255 | 1,970 | 985 | Extension for reply within fifth month | |
| 1401 | 2401 | 320 | 160 | Notice of Appeal | |
| 1402 | 2402 | 320 | 160 | Filing a brief in support of an appeal | |
| 1403 | 2403 | 280 | 140 | Request for oral hearing | |
| 1451 | 2451 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 2452 | 110 | 55 | Petition to revive - unavoidable | |
| 1453 | 2453 | 1,300 | 650 | Petition to revive - unintentional | |
| 1501 | 2501 | 1,300 | 650 | Utility issue fee (or reissue) | |
| 1502 | 2502 | 470 | 235 | Design issue fee | |
| 1503 | 2503 | 630 | 315 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR § 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Statement | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) \$0.00

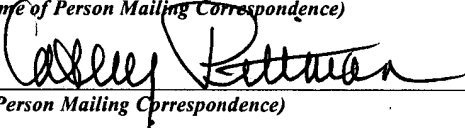

SUBMITTED BY

| | | | | | |
|-------------------|------------------|-----------------------------------|---------------|-----------|--------------|
| Name (Print/Type) | Timothy W. Lohse | Registration No. (Attorney/Agent) | 35,255 | Telephone | 650-320-7426 |
| Signature | | Date | June 26, 2003 | | |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| | | | | | | | | | | | | | | | |
|--|---------------------------------------|----------------------------|----------------------------------|-------------------------------------|----------------------------|-------------------------|--|--|--|------------------------------------|---------------------------------------|--|--|--|--|
| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Matt Champagne et al. | | | Docket No. 2101611-991121 | | | | | | | | | | | | |
| Serial No. To Be Assigned | Filing Date June 26, 2003 | Examiner To Be Assigned | Group Art Unit To Be Assigned | | | | | | | | | | | | |
| Invention: METHOD AND APPARATUS FOR VOIP TELEPHONY CALL ANNOUNCEMENT | | | | | | | | | | | | | | | |
| <p>I hereby certify that the following correspondence:</p> <table border="1"><tr><td>1. Utility Transmittal Form (1 pg);</td><td>2. Specification (22 pgs);</td><td>3. Drawings (7 sheets);</td></tr><tr><td>4. Declaration for Patent Application (unsigned)(2 pgs);</td><td colspan="2"></td></tr><tr><td>5. Fee Transmittal FY 2003 (1 pg);</td><td colspan="2">6. Check # <u>52149</u> for \$456.00;</td></tr><tr><td colspan="3">7. Express Mailing Certificate No. EV324950459 US (1 pg); 8. Return postcard</td></tr></table> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>June 26, 2003</u> <i>(Date)</i></p> <div style="text-align: right;"><p><u>Cathy Pittman</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p><u></u> <i>(Signature of Person Mailing Correspondence)</i></p><p><u>EV 324950459 US</u> <i>("Express Mail" Mailing Label Number)</i></p></div> | | | | 1. Utility Transmittal Form (1 pg); | 2. Specification (22 pgs); | 3. Drawings (7 sheets); | 4. Declaration for Patent Application (unsigned)(2 pgs); | | | 5. Fee Transmittal FY 2003 (1 pg); | 6. Check # <u>52149</u> for \$456.00; | | 7. Express Mailing Certificate No. EV324950459 US (1 pg); 8. Return postcard | | |
| 1. Utility Transmittal Form (1 pg); | 2. Specification (22 pgs); | 3. Drawings (7 sheets); | | | | | | | | | | | | | |
| 4. Declaration for Patent Application (unsigned)(2 pgs); | | | | | | | | | | | | | | | |
| 5. Fee Transmittal FY 2003 (1 pg); | 6. Check # <u>52149</u> for \$456.00; | | | | | | | | | | | | | | |
| 7. Express Mailing Certificate No. EV324950459 US (1 pg); 8. Return postcard | | | | | | | | | | | | | | | |
| <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p> <div style="text-align: center;"> 26379 PATENT TRADEMARK OFFICE</div> | | | | | | | | | | | | | | | |